

## Internal Control Certification

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**Authority Name**

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**Authority Executive Director**

**Telephone Number**

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**Authority Address**

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**Name of Internal Control Officer**

**Telephone Number**

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**Email Address**

This statement certifies that the New York State Thruway Authority has assessed and documented the adequacy of its internal control structure and policies for the year ending December 31, 2025. Where deficiencies were identified, the Authority has developed corrective action plans to mitigate any associated risks.

The Authority has complied with the following provisions for internal controls as per Section 2931 of the Public Authorities Law:

- A.** The Authority has established and maintains guidelines for an internal control system. These guidelines communicate the management and programmatic objectives to employees and outline the methods and procedures used to assess the effectiveness of the Authority's internal controls in supporting these objectives.
- B.** The Authority has implemented a structured, ongoing internal control review program. This system is designed to identify weaknesses, specify corrective actions, monitor their implementation, and periodically assess the adequacy of internal controls.
- C.** A clear and concise statement of the management policies and standards applicable to all officers and employees has been made available. These statements stress the importance of and responsibility for effective internal controls.
- D.** Designate an internal control officer, who shall report to the head of the authority to implement and review the internal control responsibilities.
- E.** Education and training efforts have been implemented to ensure that officers and employees understand and are aware of internal control standards, including evaluation techniques where appropriate.

Frank G. Hoare

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**Signature Authority Executive Director**

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**Date**